## Employee Data Protection Policy – Draft

This document sets out the Students Union’s policy on the protection of information relating to employees, workers, contractors, volunteers and interns (referred to as employees). Protecting the confidentiality and integrity of personal data is a critical responsibility that the Students Union takes seriously at all times. The Students Union will ensure that data is always processed in accordance with the provisions of relevant data protection legislation, including the General Data Protection Regulation (GDPR).

**KEY DEFINITIONS**

**Data Processing**

Data processing is any activity that involves the use of personal data. It includes obtaining, recording or holding the data, or carrying out any operation or set of operations on the data including organising, amending, retrieving, using, disclosing, erasing or destroying it. Processing also includes transmitting or transferring personal data to third parties.

**Personal Data**

Personal data is any information identifying a data subject (a living person to whom the data relates). It includes information relating to a data subject that can be identified (directly or indirectly) from that data alone or in combination with other identifiers the Students Union possesses or can reasonably access. Personal data can be factual (for example, a name, email address, location or date of birth) or an opinion about that person’s actions or behaviour.

**Sensitive Personal Data**

Sensitive personal data is a special category of information which relates to a data subject’s racial or ethnic origin, political opinions, religious or similar beliefs, trade union membership, physical or mental health conditions, sexual life, sexual orientation, biometric or genetic data. It also includes personal data relating to criminal offences and convictions.

**PRIVACY NOTICE**

This policy, together with the information contained in the table of employee data (available on request), constitutes a privacy notice setting out the information the Students Union holds about employees, the purpose for which this data is held and the lawful basis on which it is held. The Students Union may process personal information without employees’ knowledge or consent, in compliance with this policy, where this is required or permitted by law.

If the purpose for processing any piece of data about employees should change, the Students Union will update the table of staff member data with the new purpose and the lawful basis for processing the data and will notify employees.

**FAIR PROCESSING OF DATA**

**Fair Processing Principles**

In processing employees’ data the following principles will be adhered to. Personal data will be:

* Used lawfully, fairly and in a transparent way;
* Collected only for valid purposes that are clearly explained and not used in any way that is incompatible with those purposes;
* Relevant to specific purposes and limited only to those purposes;
* Accurate and kept up to date;
* Kept only as long as necessary for the specified purposes; and
* Kept securely.

**Lawful Processing of Personal Data**

Personal information will only be processed when there is a lawful basis for doing so. Most commonly, the Students Union will use personal information in the following circumstances:

* when it is needed to perform employees’ contracts of employment;
* when it is needed to comply with a legal obligation; or
* when it is necessary for the Students Union’s legitimate interests (or those of a third party) and employees’ interests and fundamental rights do not override those interests.

The Students Union may also use personal information in the following situations, which are likely to be rare:

* when it is necessary to protect employees’ interests (or someone else’s interests); or
* when it is necessary in the public interest (or for official purposes).

**Lawful Processing of Sensitive Personal Data**

The Students Union may process special categories of personal information in the following circumstances:

* In limited circumstances, with explicit written consent;
* in order to meet legal obligations;
* when it is needed in the public interest, such as for equal opportunities monitoring or in relation to the Students Union’s occupational pension scheme; or
* when it is needed to assess working capacity on health grounds, subject to appropriate confidentiality safeguards.

Less commonly, the Students Union may process this type of information where it is needed in relation to legal claims or where it is needed to protect a staff member’s interests (or someone else’s interests) and the staff member is not capable of giving consent, or where a staff member has already made the information public. The Students Union may use particularly sensitive personal information in the following ways:

* information relating to leaves of absence, which may include sickness absence or family related leaves, may be used to comply with employment and other laws;
* information about employees’ physical or mental health, or disability status, may be used to ensure health and safety in the workplace and to assess fitness to work, to provide appropriate workplace adjustments, to monitor and manage sickness absence and to administer benefits;
* information about race or national or ethnic origin, religious, philosophical or moral beliefs, or sexual life or sexual orientation, may be used to ensure meaningful equal opportunity monitoring and reporting; and
* information about trade union membership may be used to pay trade union premiums, register the status of a protected staff member and to comply with employment law obligations.

**Lawful Processing of Information about Criminal Convictions**

The Students Union will only collect information about criminal convictions if it is appropriate given the nature of the role and where it is legally able to do so. Where appropriate, the Students Union will collect information about criminal convictions as part of the recruitment process or may require employees to disclose information about criminal convictions during the course of employment.

**Consent to Data Processing**

The Students Union does not require consent from employees to process most types of staff member data. In addition, the Students Union will not usually need consent to use special categories of personal information in order to carry out legal obligations or exercise specific rights in the field of employment law. If a staff member fails to provide certain information when requested, the Students Union may not be able to perform the contract entered into with the staff member (such as paying the staff member or providing a benefit). The Students Union may also be prevented from complying with legal obligations (such as to ensure the health and safety of employees).

In limited circumstances, for example, if a medical report is sought for the purposes of managing sickness absence, employees may be asked for written consent to process sensitive data. In those circumstances, employees will be provided with full details of the information that is sought and the reason it is needed, so that employees can carefully consider whether to consent. It is not a condition of employees’ contracts that employees agree to any request for consent.

Where employees have provided consent to the collection, processing and transfer of personal information for a specific purpose, they have the right to withdraw consent for that specific processing at any time. Once the Students Union has received notification of withdrawal of consent it will no longer process information for the purpose or purposes originally agreed to, unless it has another legitimate basis for doing so in law.

**Automated Decision Making**

Automated decision-making takes place when an electronic system uses personal information to make a decision without human intervention.

The Students Union does not envisage that any decisions will be taken about employees using automated means, however employees will be notified if this position changes.

**COLLECTION AND RETENTION OF DATA**

**Collection of Data**

The Students Union will collect personal information about employees through the application and recruitment process, either directly from candidates or sometimes from an employment agency or background check provider. The Students Union may sometimes collect additional information from third parties including former employers.

The table of staff member data (available on request) relates to information which is collected at the outset of employment. From time to time, the Students Union may collect additional personal information in the course of job-related activities throughout the period of employment. If the Students Union requires to obtain additional personal information, this policy will be updated or employees will receive a separate privacy notice setting out the purpose and lawful basis for processing the data.

**Retention of Data**

The Students Union will only retain employees’ personal information for as long as necessary to fulfil the purposes it was collected it for, including for the purposes of satisfying any legal, accounting, or reporting requirements. Details of retention periods for different aspects of personal information are set out in the table of staff member data appended to this policy.

When determining the appropriate retention period for personal data, the Students Union will consider the amount, nature, and sensitivity of the personal data, the potential risk of harm from unauthorised use or disclosure of personal data, the purposes for which the personal data is processed, whether the Students Union can achieve those purposes through other means, and the applicable legal requirements.

In some circumstances, the Students Union may anonymise personal information so that it can no longer be associated with individual employees, in which case the Students Union may use such information without further notice to employees. After the data retention period has expired, the Students Union will securely destroy employees’ personal information.

**DATA SECURITY AND SHARING**

**Data Security**

The Students Union has put in place appropriate security measures to prevent personal information from being accidentally lost, used or accessed in an unauthorised way, altered or disclosed. Details of these measures are available upon request. Access to personal information is limited to those employees, agents, contractors and other third parties who have a business need to know. They will only process personal information on the Students Union’s instructions and are subject to a duty of confidentiality. The Students Union expects employees handling personal data to take steps to safeguard personal data of employees (or any other individual) in line with this policy.

**Data Sharing**

The Students Union requires third parties to respect the security of staff member data and to treat it in accordance with the law. The Students Union may share personal information with third parties, for example in the context of the possible sale or restructuring of the business. The Students Union may also need to share personal information with a regulator or to otherwise comply with the law.

The Students Union may also share staff member data with third-party service providers where it is necessary to administer the working relationship with employees or where the Students Union has a legitimate interest in doing so. The following activities are carried out by third-party service providers: payroll, pension administration, benefits provision and administration, IT services.

**EMPLOYEE’S RIGHTS AND OBLIGATIONS**

**Accuracy of Data**

The Students Union will conduct regular reviews of the information held by it to ensure the relevancy of the information it holds. Employees are under a duty to inform the Students Union of any changes to their current circumstances. Where a Staff member has concerns regarding the accuracy of personal data held by the Students Union, the Staff member should contact the Chief Executive to request an amendment to the data.

**Employee Rights**

Under certain circumstances, employees have the right to:

* Request access to personal information (commonly known as a “data subject access request”).
* Request erasure of personal information.
* Object to processing of personal information where the Students Union is relying on a legitimate interest (or those of a third party) to lawfully process it.
* Request the restriction of processing of personal information.
* Request the transfer of personal information to another party.

If a staff member wishes to make a request on any of the above grounds, they should contact the Chief Executive in writing. Please note that, depending on the nature of the request, the Students Union may have good grounds for refusing to comply. If that is the case, the staff member will be given an explanation by the Students Union.

**Data Subject Access Requests**

Employees will not normally have to pay a fee to access personal information (or to exercise any of the other rights). However, the Students Union may charge a reasonable fee if the request for access is clearly unfounded or excessive. Alternatively, the Students Union may refuse to comply with the request in such circumstances.

The Students Union may need to request specific information from the staff member to help confirm their identity and ensure the right to access the information (or to exercise any of the other rights). This is another appropriate security measure to ensure that personal information is not disclosed to any person who has no right to receive it.

**COMPLIANCE WITH THIS POLICY**

**The Students Union’s Responsibility for Compliance**

The Students Union’s Chief Executive is tasked with overseeing compliance with this policy. If employees have any questions about this policy or how the Students Union handles personal information, they should contact the Chief Executive. Employees have the right to make a complaint at any time to the Information Commissioner’s Office (ICO), the UK supervisory authority for data protection issues.

**Data Security Breaches**

The Students Union has put in place procedures to deal with any data security breach and will notify employees and any applicable regulator of a suspected breach where legally required to do so. Details of these measures are available upon request.

In certain circumstances, the Students Union will be required to notify regulators of a data security breach within 72 hours of the breach. Therefore, if a staff member becomes aware of a data security breach it is imperative that they report it to the Chief Executive immediately.

**Privacy by Design**

The Students Union will have regard to the principles of this policy and relevant legislation when designing or implementing new systems or processes (known as “privacy by design”).

**Employees’ Responsibility for Compliance**

All employees, particularly those tasked with regularly handling personal data of colleagues or third parties, have responsibility for ensuring that processing meets the standards set out in this policy. Employees should observe, as a minimum, the following rules:

* Employees must observe to the letter any instruction or guidelines issued by the Students Union in relation to data protection.
* Employees should not disclose personal data about the Students Union, colleague or third parties unless that disclosure is fair and lawful, in line with this policy;
* Employees must take confidentiality and security seriously, whether the staff member considers the information to be sensitive or not.
* Any personal data collected or recorded manually which is to be inputted to an electronic system should be inputted accurately and without delay.
* Employees must not make any oral or written reference to personal data held by the Students Union about any individual except to employees of the Students Union who need the information for their work or an authorised recipient.
* Great care should be taken to establish the identity of any person asking for personal information and­­­­ to make sure that the person is entitled to receive the information.
* If a staff member is asked by an unauthorised individual to provide details of personal information held by the Students Union, the staff member should ask the individual to put their request in writing and send it to the Chief Executive. If the request is in writing the staff member should pass it immediately to their line manager OR Chief Executive.
* Employees must not use personal information for any purpose other than their work for the Students Union.
* If a staff member is in doubt about any matter to do with data protection they must refer the matter to their line manager OR Chief Executive immediately.
* Passwords should not be disclosed and should be changed regularly;
* Staff member or third party personal data should not be left unsecured or unattended, e.g. on public transport;
* Unauthorised use of computer equipment issued by the Students Union is not permitted;
* Employees must follow the Students Union’s “clear desk” policy and ensure that all confidential information, whether containing staff member or third party personal data or not, is secured when it is not in use or when the staff member is not at work;
* Employees may use personal equipment to carry out work but must ensure that devices are password protected, locked when not in use and must not store any staff member or third party personal data locally on their device;
* Emails containing staff member or third party personal data must not be sent from a web-based email system;
* Documents containing sensitive information should be password protected and, if the document requires to be transmitted, the document and password should be transmitted separately.

Any breach of the above rules will be taken seriously and, depending on the severity of the matter, may constitute gross misconduct which could lead to summary termination of employment.